



Health, Safety, Environment, Product Stewardship and Sustainability (HSEPS) Management Systems Manual

1 Context of the Organization

Honeywell Technologies is a global pure-play automation company delivering mission-critical outcomes to enable safety, productivity, efficiency and uptime. As a trusted partner across the industrial sector, we provide innovative, mission-critical solutions in Building Automation, Process Automation and Technology and Industrial Automation segments that draw on our expertise across refineries, mines, plants, power grids, data centers, hospitals and more across the globe. The needs and expectations of our customers, employees, neighbors, regulators, and other internal and external interested parties are taken into consideration as discussed in the Honeywell Technologies Sustainable Opportunity Policy endorsed by the Honeywell Technologies CEO. Specifically, “By integrating health, safety, and environmental considerations into all aspects of our business, we protect our employees, our communities, and the environment, achieve sustainable growth and accelerated productivity, drive compliance with all applicable regulations, and develop technologies that expand the sustainable capacity of our world”.

Honeywell Technologies is based in Charlotte, NC, and is made of up three Strategic Business Groups (SBGs):

Building Automation (BA) develops the products, software, solutions and technologies that enable building owners and occupants to ensure their facilities are safe, energy efficient, sustainable and productive

Industrial Automation (IA) deploys outcome-based industrial automation solutions that deliver intelligent, sustainable and secure operations for customers in refining, petrochemicals, life sciences, utilities and warehouse and logistics segments.

Process Automation and Technology designs end-to-end automation and technology solutions to help accelerate digital transformation, enable energy innovation and enhance production efficiency, precision and reliability for the process industry.

The Honeywell Technologies Health, Safety, Environmental, Product Stewardship, and [Sustainability](#) (HSEPS) Management System aligns with ISO 14001:2026, ISO 45001:2018, ISO 50001:2018, and Honeywell Technologies requirements. The HSEPS Management System is an integral part of the overall Honeywell Technologies operating model and defines how HSEPS is integrated with the manufacturing, service, and business organization processes.

The Honeywell Technologies HSEPS Management System is designed to:

- a) Provide SBGs and global Honeywell Technologies operations with a systematic framework for minimizing HSEPS [risks](#) and associated liabilities;
- b) Implement processes that monitor, identify, and [control risks](#) associated with the design, production, and delivery of [products](#) and services including intended use through disposition;

- c) Provide a framework for continual improvement of the management system and the fulfillment of conformity to applicable company, statutory, regulatory, and stakeholder requirements; and
- d) Promote the integration of the HSEPS Management System with business planning and performance processes throughout the organization.

In addition to defining processes for managing health, safety, environmental, product stewardship, and sustainability, the HSEPS Management System includes the standardization of processes related to security, stakeholder outreach, distribution, and transportation.

2 Applicability / Scope of the HSEPS Management System

- 2.1 The HSEPS Management System applies to all Honeywell Technologies organizations, subsidiaries, and joint ventures (JV) worldwide where Honeywell Technologies has operational control, including all laboratories, offices, facilities, cafeterias, dormitories, processes, services, and products. Activities include, but are not limited to product and project design and development, changes to products and processes, manufacturing, field work (including Honeywell Technologies employees working at customer locations), supply, distribution (including transportation), and use of raw materials, products, and waste. All personnel having access to the workplace, including all Honeywell Technologies employees and contractors, are covered by the HSEPS Management System requirements.
- 2.2 Joint venture operations not under the operational control of Honeywell Technologies are encouraged to implement a management system consistent with the principles of the Honeywell Technologies HSEPS Management System.
- 2.3 Entities and contractual arrangements not covered by the above applicability criteria will be evaluated on a case-by-case basis to define applicability to the HSEPS Management System.
- 2.4 All Honeywell Technologies organizations globally will comply with all compliance obligations to which they subscribe or are subject to. This includes requirements established by Honeywell Technologies (HSEPS Management System and Corporate and SBG policies, standards, and procedures). Should a conflict arise, the most stringent of these requirements will apply unless expressly prohibited by regulation.
- 2.5 Each Honeywell Technologies facility and organization, as well as Honeywell Technologies and SBG headquarters, shall maintain a profile for the organization. Each organization shall consider external and internal issues; compliance obligations; its units, functions, and physical boundaries; its activities, products, and services; and persons with authority and ability to exercise control and influence.
- 2.6 Newly acquired operations and businesses shall conduct a baseline evaluation and develop an implementation plan for conformance to the HSEPS Management System. All implementation plans will include a timeline for implementation and must be approved by the Corporate HSE Vice President.
- 2.7 Newly formed operations and businesses shall incorporate the HSEPS Management System from inception (e.g. during formation, development, and ongoing activity).
- 2.8 In cases where Honeywell Technologies employees from one SBG are co-located with another Honeywell Technologies SBG(s) location, personnel are either mapped to the location HSEPS Management System or an applicable Field Work HSEPS Management System.

3 HSEPS Management System Structure and Content

The HSEPS Management System is structured:

- The Structure and Content (Level 0 Document): A single document that identifies the HSEPS Management System structure, applicability, elements of the HSEPS Management System, and definitions for terms used within the management system.
- Elements of the HSEPS Management System (Level 1 Document): Specific requirements for each element of the HSEPS Management System as it applies to different applicable [organization](#) levels (Corporate, Strategic Business Group (SBG), Facility, Field Work). These documents guide the successful implementation of the management system elements and examples of objective evidence.
- HSEPS Management System Operational Controls / Standards (Level 2 Document): Standards that define specific requirements to address [aspects](#), [impacts](#), and [compliance obligations](#) common to Honeywell Technologies global operations.
- HSEPS Management System Supporting Documents (Level 3 Document): Supporting documents that assist in deploying the HSEPS Management System (i.e. procedures, guidance documents, charts, descriptions, tools, templates).

4 Honeywell Technologies HSEPS Management System Elements

4.1 LEADERSHIP

4.1.1 Policy and Leadership Commitment

- 4.1.1.1 Honeywell Technologies establishes, implements, and maintains the Sustainable Opportunity Policy which defines commitments to the HSEPS Management System.
- 4.1.1.2 All levels of [leadership](#) demonstrate their commitment to the HSEPS Management System by implementing and promoting the Sustainable Opportunity Policy.
- 4.1.1.3 [Top Management](#) supports other relevant management roles to demonstrate their leadership and conformance to the Sustainable Opportunity Policy.
- 4.1.1.4 The HSEPS Management System provides the framework for the implementation of the Sustainable Opportunity Policy requirements across all Honeywell Technologies [organizations](#).
- 4.1.1.5 The Sustainable Opportunity Policy is available and communicated to all persons doing work under Honeywell Technologies' control, including [contractors](#), with the intent that they are aware of their individual responsibilities, actions/inactions, and consequences to others.
- 4.1.1.6 The Sustainable Opportunity Policy is available to relevant [interested parties](#), suppliers, customers, and the public via the Honeywell Technologies external website.

4.1.2 Organizational Roles, Responsibilities, Accountabilities and Authorities

- 4.1.2.1 [Top management](#) ensures that the responsibilities, accountabilities, and authorities for relevant roles are assigned and communicated within the [organization](#) to facilitate effective HSEPS management.
- 4.1.2.2 All levels of leadership demonstrate commitment by ensuring the availability of [resources](#) to implement and maintain the HSEPS Management System.
- 4.1.2.3 [Top management](#) assigns the responsibility and authority to:
 - a) Ensuring that the HSEPS Management System conforms to applicable International Standards in Section 1 of this document.
 - b) Reporting on the performance of the HSEPS Management System to [top management](#).

4.2 PLANNING

4.2.1 Aspects and Impacts

- 4.2.1.1 Honeywell Technologies establishes an approach to identify HSEPS [aspects](#) and the associated [impacts](#) of its activities, [products](#), and services including the [product life cycle](#) perspective which the [organization](#) can control and influence.
- 4.2.1.2 Honeywell Technologies assesses [risk](#) (including opportunities) associated with [aspects](#) for:
 - a) Health
 - b) Safety
 - c) Environmental
 - d) Security
 - e) Product Stewardship
 - f) Product Safety
 - g) Transportation
 - h) Process Safety Management
 - i) [Sustainability](#)
- 4.2.1.3 The assessment considers:
 - a) New and existing [products](#)
 - b) Changes to existing [products](#), services, the [organization](#), and operations
 - c) Normal conditions
 - d) Abnormal and [emergency](#) conditions
 - e) Threats and opportunities
- 4.2.1.4 Honeywell Technologies documents and ranks [risk](#) associated with [aspects](#) to determine those that have a significant [impact](#) on health, safety, environment, security, product stewardship, transportation, [sustainability](#), and process safety using established processes and criteria.
- 4.2.1.5 The [risk](#) assessment process includes opportunities for the participation of those doing work under Honeywell Technologies' control.
- 4.2.1.6 Emerging HSEPS issues and changes are evaluated to identify significant HSEPS [aspects](#) and [impacts](#). (Section 4.2.3)

4.2.2 Compliance Obligations (Legal and Other Requirements)

- 4.2.2.1 Honeywell Technologies establishes, implements, and maintains a process to:
 - a) Determine mandatory requirements issued by governmental entities or other relevant authorities (i.e. legal obligations)
 - b) Determine relevant requirements of parties through the [aspects](#) and [impacts](#) assessment (Section 4.2.1) and determine which of those become other requirements.
 - c) Determine how [compliance obligations](#) comprised of legal and other requirements apply to Honeywell Technologies and how they will be met.
 - d) Communicate relevant information on [compliance obligations](#) to persons working under the control of the [organization](#) and other relevant [interested parties](#).

4.2.3 Emerging Issues

- 4.2.3.1 Honeywell Technologies maintains a process to monitor emerging external and internal issues that may affect the ability to fulfill the Honeywell Technologies Sustainable Opportunity Policy.

- 4.2.3.2 External issues that are determined to have applicability to Honeywell Technologies will be addressed through the Management of Change (Section 4.2.4) management system process.
- 4.2.3.3 Organizational issues, both internal and external to the HSEPS function, will be assessed for applicability and addressed through the Management of Change (Section 4.2.4) management system process.

4.2.4 Management of Change (MOC)

- 4.2.4.1 Honeywell Technologies plans for and manages HSEPS change, whether the change is [temporary](#) or permanent, to ensure that the change does not create an adverse effect or deterioration in performance and that the benefit is realized.
- 4.2.4.2 The responsibilities and authorities for managing and approving change are managed by the MOC process.
- 4.2.4.3 Associated [aspects](#) and [impacts](#) are identified through the MOC process and assessed by the risk assessment process (Section 4.2.1).
- 4.2.4.4 Results of the risk assessment are reviewed to determine if a [risk](#) has been affected (increased or decreased), or if a new [risk](#) or [impact](#) has been introduced (beneficial or consequential) so appropriate action can be taken.
- 4.2.4.5 The MOC process is linked to the other management system elements such as [Compliance Obligations](#) (Section 4.2.2) and [Emerging Issues](#) (Section 4.2.3) to manage the change appropriately and maintain compliance.
- 4.2.4.6 Changes are communicated to [interested parties](#) and affected persons working under the control of Honeywell Technologies.

4.2.5 HSEPS Objectives

- 4.2.5.1 Honeywell Technologies establishes and maintains documented HSEPS objectives at all levels of the [organization](#) to drive continuous improvement and performance.
- 4.2.5.2 HSEPS objectives meet the following criteria:
 - a) Consistent with the Sustainable Opportunity Policy
 - b) Take into account applicable [Compliance Obligations](#)
 - c) Take into account [Significant Aspects](#)
 - d) Take into account Threats and Opportunities (Risk Assessment output)
 - e) Measurable (if practical)
 - f) Monitored
 - g) Communicated and documented
 - h) Updated as appropriate
 - i) Include time-frame for achievement

- 4.2.5.3 Honeywell Technologies considers best practices, technological options, and financial, operational, and business requirements when establishing HSEPS objectives.
- 4.2.5.4 Persons doing work under the control of Honeywell Technologies have opportunities to participate in setting HSEPS objectives.
- 4.2.5.5 A [Common Balanced Scorecard](#) (CBS) including leading and lagging indicators of HSEPS performance is used as a primary metric to measure objectives and overall HSEPS Management System maturity.

4.2.6 HSEPS Management Plans

- 4.2.6.1 Each level of the [organization](#) develops and documents management plans to achieve HSEPS Objectives.
- 4.2.6.2 HSEPS Management Plans include:
 - a) What will be done
 - b) What [resources](#) will be required
 - c) Who will be responsible
 - d) Completion targets
 - e) Monitoring and Measurement
 - f) How the results will be evaluated (including indicators)
 - g) Integration into the business processes
- 4.2.6.3 Management Plans are maintained and updated at defined intervals.

4.3 SUPPORT

4.3.1 Competence, Training, and Awareness

- 4.3.1.1 Honeywell Technologies has a process to determine and evaluate the necessary [competence](#) of person(s) doing work under the control of the [organization](#) who affect HSEPS performance and the ability of the [organization](#) to fulfill compliance obligations.
- 4.3.1.2 Persons will be assessed against necessary competency and will be deemed [competent](#) based on appropriate education, training, qualification, and/or experience.
- 4.3.1.3 The assessment considers assigned responsibilities, abilities, language skills, literacy, and employee feedback.
- 4.3.1.4 The assessment process ensures that necessary training or other actions are taken to achieve the appropriate level of [competence](#) associated with relevant HSEPS [aspects](#), [impacts](#), [control](#) measures, and [compliance obligations](#).
- 4.3.1.5 Training content is developed and delivered by a competent person or through a competent process (i.e. a learning management system).
- 4.3.1.6 Periodically the effectiveness of training and the overall training process is evaluated.
- 4.3.1.7 At a minimum all persons doing work under the control of Honeywell Technologies are made aware of:
 - a) Sustainable Opportunity Policy
 - b) Significant HSEPS [Aspects/Impacts](#) associated with their work
 - c) Their contribution to the effectiveness of the HSEPS Management System
 - d) Benefits of improved HSEPS performance
 - e) Implications of not conforming to the HSEPS requirements

- f) Applicable [compliance obligations](#) associated with their work
- g) Lessons learned from relevant incidents

4.3.1.8 Evidence of competency is documented and retained.

4.3.2 Communication & Participation

4.3.2.1 Honeywell Technologies determines the need for internal and external communications relevant to the HSEPS Management System considering [aspects](#) and [compliance obligations](#).

4.3.2.2 Each level of the [organization](#) develops a written communication plan applicable to the operations.

4.3.2.3 When developing the communications plan, the [organization](#) considers:

- a) What information to disseminate
- b) What to communicate
- c) When to communicate
- d) How to communicate
- e) Whom to communicate
- f) Internal communications among various levels/functions of the [organization](#)
- g) [Contractor](#) and visitor communications
- h) External communications / [Interested Parties](#)
- i) Public availability of [product](#) safety, [sustainability](#), and product stewardship information
- j) Communication of changes
- k) Management of relevant communications from and to [interested parties](#)
- l) Plan effectiveness evaluation with the Honeywell Technologies communication objectives and needs of [interested parties](#)
- m) Language, cultural and literacy aspects

4.3.2.4 The communication plan includes HSEPS objectives (Section 4.2.5) and performance to the objectives.

4.3.3 Participation

4.3.3.1 Honeywell Technologies maintains a process to ensure effective participation and consultation in the HSEPS Management System by persons doing work under its direct control (and as appropriate, representatives) at all organizational levels and functions by:

- a) Providing the mechanism, time, and resources necessary to participate in HSEPS Management System activities such as [Leadership](#), Planning, Operations, Performance Evaluation, and Improvement.
- b) Providing timely access to information relevant to the HSEPS Management System.
- c) Identifying and removing obstacles or barriers to participation wherever possible.
- d) Creating systems and expectations for timely reporting of work-related [aspects](#), [risks](#), threats, opportunities, incidents, and [nonconformities](#).

4.3.3.2 Honeywell Technologies consults with relevant [interested parties](#) about matters pertinent to the HSEPS Management System and participates in mutual engagement programs and sharing activities as appropriate. This includes making relevant [product](#) safety and [product](#) stewardship information publicly available.

4.3.4 Document and Records Management

- 4.3.4.1 Honeywell Technologies maintains documents and records to demonstrate the effective operation of the HSEPS Management System.
- 4.3.4.2 Honeywell Technologies maintains a cross-reference table indicating the interaction and how the elements of the HSEPS Management System align to requirements of the certifications identified in Section 1 of this document.
- 4.3.4.3 Each level of the [organization](#) is required to follow a process to demonstrate control of [documents](#) and [records](#).
- 4.3.4.4 HSEPS Management System [documents](#) and [records](#) will be controlled to ensure they are:
 - a) Available and suitable for use (where and when needed)
 - b) Adequately protected (e.g. from loss of confidentiality, improper use, or loss of integrity)
 - c) Compliant with the Honeywell Technologies [Records and Information Management](#) requirements
- 4.3.4.5 The [document](#) and [record](#) control process includes:
 - a) Distribution
 - b) Access (persons doing work under the control of Honeywell Technologies)
 - c) Retrieval and use (e.g. version control)
 - d) Retention and disposition
 - e) Identification and description
 - f) Review and approval
- 4.3.4.6 The [document](#) and [record](#) control process prevents the unintended use and distribution of obsolete documents. The process identifies obsolete documents that must be retained for regulatory or other purposes.
- 4.3.4.7 Documents and information of external origin determined to be necessary by Honeywell Technologies for planning and the operation of the HSEPS Management System will be identified and controlled as appropriate.

4.4 OPERATIONS

4.4.1 Operational Planning and Control

- 4.4.1.1 Honeywell Technologies plans, implements, [controls](#), and maintains the processes needed to meet HSEPS Management System requirements and implement actions associated with applicable [aspects](#) and [impacts](#) (Section 4.2.1) and [compliance obligations](#) (Section 4.2.2) by:
 - a) Establishing operating criteria for processes.
 - b) Implementing control of the processes under the operating criteria.
 - c) Maintaining documentation of the [controls](#) necessary to have confidence that the processes have been carried out as planned.
 - d) Managing situations where the absence of documented information could lead to deviations from the Sustainable Opportunity Policy and the HSEPS Objectives.
 - e) Assessing planned changes, reviewing of consequences of unintended changes, and taking actions to mitigate any adverse effects.
 - f) Evaluating outsourced processes to ensure they are controlled or influenced as appropriate.
 - g) Evaluating and controlling [aspects](#) and impacts arising from [contractor](#) activities and operations.
 - h) Establishing requirements and [controls](#) for procurement (e.g. [products](#), hazardous materials or substances, raw materials, equipment, [contractor](#), or services) to ensure Honeywell

Technologies requirements are addressed in the design and development processes and for [product](#) or service life cycle.

- i) Establishing processes to verify the effective implementation of controls.

4.4.1.2 A process is maintained to reduce [risk](#) and protect the environment, conserve [resources](#), protect worker health, and create a safe and secure work environment based on the following hierarchy:

- a) Elimination of [hazards](#)
- b) Substitution with less hazardous material, process, operation, or equipment
- c) Implementation of engineering [controls](#)
- d) Use of administrative [controls](#) including supervision and training, safety signs, markings, and warning devices
- e) Use of personal protective equipment

4.4.1.3 Honeywell Technologies maintains:

- a) Systems to facilitate the flow of hazard and safe handling information
- b) Appropriate guidance and training along the value chain to support risk evaluation and risk management of its products (including end-of-life treatment and final disposition).
- c) Receipt of information from suppliers on equipment, goods, and services purchased or used.

4.4.1.4 Honeywell Technologies maintains a process to review and assess the following entities according to performance criteria established by the [organization](#) regarding health, safety, security, [sustainability](#), and environmental performance:

- a) Customers
- b) Suppliers
- c) Outsourced processes
- d) Contract Manufacturers
- e) Carriers/Distributors/Third Party Logistic providers
- f) [Contractors](#)

4.4.2 Emergency Management

4.4.2.1 Honeywell Technologies establishes, implements, and maintains a process where HSEPS [aspects](#) and [impacts](#) (Section 4.2.1) associated with emergencies are evaluated to anticipate, prevent, and minimize [risks](#) from all potential emergencies.

4.4.2.2 The process includes:

- a) Identifying and planning for potential emergencies.
- b) Preparation of a response to emergencies.
- c) Responding to such emergencies.
- d) Periodically testing and exercising response when practical.
- e) Evaluating and revising [emergency](#) preparedness planning as necessary (in particular, after testing and the occurrence of emergencies).
- f) Provide training for [emergency](#) prevention, preparedness, and response.
- g) Communicating with employees, [contractors](#), visitors, relevant [emergency](#) response services, government authorities, and the local community as appropriate.
- h) Participating commensurate with [risk](#) in the deployment, implementation, and maintenance of community [emergency](#) preparedness and planning and recovery needs.
- i) Evaluating an appropriate process of responding to raw materials, [product](#), process, and waste material transportation incidents.
- j) Ensuring periodic review and alignment with business continuity plans.

- 4.4.2.3 In all stages of the **emergency** management process Honeywell Technologies shall take account of the needs and capabilities of relevant **interested parties** and ensure their involvement, as appropriate.

4.4.3 Behavior and Culture

- 4.4.3.1 Honeywell Technologies maintains a behavior and a culture process to ensure that the person(s) doing work under the control of the **organization** work, act and leads according to HSEPS Management System requirements. The process shall incorporate the behaviors and cultural aspects of:
- a) **Leadership** and commitment
 - b) Management behaviors
 - c) Responsibility and ownership
 - d) Engagement in improvements
 - e) Communications, education, and resources
 - f) Continuous improvement

4.5 PERFORMANCE EVALUATION

4.5.1 Monitoring, Measurement, Analysis, and Evaluation

- 4.5.1.1 Honeywell Technologies plans, implements, and maintains a process to evaluate conformity with its **compliance obligations** (Section 4.2.2).
- 4.5.1.2 The process includes the frequency and methods used to evaluate compliance, the requirement to take action if needed, and the requirement to communicate **nonconformities** as required.
- 4.5.1.3 The process includes self-assessments at planned intervals to provide information on:
- a) If the HSEPS Management System conforms to its requirements.
 - b) If the HSEPS Management System is effectively implemented and maintained.
- 4.5.1.4 Honeywell Technologies maintains knowledge and understanding of **compliance obligation** status and retains documented information as evidence of the compliance evaluation results.

4.5.2 Internal Audit

- 4.5.2.1 Honeywell Technologies in consultation with workers (and, as applicable, their representatives) plans, establishes, implements, and maintains a governance process that includes the frequency, methods, responsibilities, planning requirements, and reporting of audit results.
- 4.5.2.2 The governance process takes into consideration the HSEPS processes, performance evaluation outcomes, **significant aspects** and impacts, **compliance obligations**, **risks**/threats and opportunities, and the results of previous audits (including self-assessments).

- 4.5.2.3 Honeywell Technologies defines the audit type criteria and scope of each audit.
- 4.5.2.4 **Competent** auditors conduct audits to ensure objectivity and impartiality of the audit process.
- 4.5.2.5 Audit results are reported to relevant **leadership**, relevant persons doing work under Honeywell Technologies's control, and relevant **interested parties**. Documented information is retained as evidence of the implementation of the audit program and the audit results.

4.5.3 Management Review

- 4.5.3.1 **Leadership** at each level of the **organization** and scope of responsibility to the HSEPS Management System reviews their **organization's** HSEPS Management System at planned intervals to ensure its continuing suitability, adequacy, and effectiveness.
- 4.5.3.2 Reviews from lower levels of the **organization** are incorporated as the reviews progress higher through the **organization**.
- 4.5.3.3 The management reviews include the following:
 - a) Status of actions from previous management reviews
 - b) Extent to which HSEPS objectives have been achieved
 - c) Changes in external and internal issues that are relevant to the HSEPS Management System
 - d) Needs and expectations of **interested parties**
 - e) Fulfillment of **compliance obligations**
 - f) **Significant aspects** and **impacts**
 - g) Threats/**Risks** and opportunities
 - h) HSEPS Performance including status and trends in incidents; **nonconformities**; investigation outcomes; complaints and corrective actions; monitoring, measurement, analysis, and evaluation; communication and participation, and self-assessment and internal audit results.

4.6 IMPROVEMENT

4.6.1 Incident, Nonconformity and Corrective Action

- 4.6.1.1 Honeywell Technologies maintains a process to act timely to the incidents or **nonconformities**, and as applicable takes action to contain or correct the incident or **nonconformity** and address the consequences.
- 4.6.1.2 The process includes evaluating the need for corrective and preventative action(s) with the participation of relevant persons working under the control of Honeywell Technologies to eliminate root causes of the incident or **nonconformity** so that the incident or **nonconformity** does not recur or occur elsewhere.
- 4.6.1.3 Incidents and **nonconformities** are investigated determining the cause(s) of the incident or **nonconformity**, and determining if similar incidents and **nonconformities** or causes exist, or could potentially occur.
- 4.6.1.4 As needed, corrective actions are implemented and the Management of Change process (Section 4.2.4) is utilized as appropriate.
- 4.6.1.5 Corrective actions are reviewed to ensure that they are effective in addressing the incident or **nonconformity**.
- 4.6.1.6 HSEPS Management System and MS Elements, such as **aspects** and impacts, are reviewed and actions or changes are made as necessary.

- 4.6.1.7 Honeywell Technologies maintains documented information as evidence of the nature of the incidents or [nonconformities](#) and any subsequent action(s)/corrective action(s), and the results of those corrective action(s).

4.6.2 Continual Improvement

- 4.6.2.1 Honeywell Technologies continually improves the suitability, adequacy, and effectiveness of the HSEPS Management System to prevent the occurrence of potential incidents and [nonconformities](#) and to promote improvements in HSEPS performance.
- 4.6.2.2 Honeywell Technologies establishes, implements, and maintains a continual improvement process that takes into account the outputs of the activities described in the following:
- a) Policy and [Leadership](#) Commitment
 - b) [Aspects](#) and [Impacts](#)
 - c) Objectives
 - d) Communications and Participation
 - e) Monitoring, Measurement, Analysis and Evaluation
 - f) Management Review
- 4.6.2.3 Honeywell Technologies retains documented information as evidence of the results of continual improvement.

5 Definitions

Aspects	Element of an organization's activities, products , and/or services that interact with the environment and/or humans. Aspects can present a threat (hazard or risk) or can present an opportunity. The HSEPS Management System includes hazard (s) as an aspect.
Common Balanced Scorecard (CBS)	Multi-metric composite index that considers performance for both lagging and leading indicators to cover all areas of HSEPS. Lagging indicators include measure of injury/illness, environmental performance and regulatory agency compliance. Leading indicators include proactive measures of HSEPS Management System actions and maturity.
Competence	Ability to apply knowledge and skills to achieve intended results. Competence can be demonstrated on the basis of appropriate education, training, qualifications, and/or experience.
Compliance Obligations	Requirements that an organization has to or chooses to comply with. Includes: Mandatory requirements, legal and regulations; and other requirements, organizational and industry standards, contractual relationships, principles of good governance, and community and ethical standards.
Contingent Subcontract Worker	This means an individual employed by an employment agency or other service firm who is performing work for Honeywell Technologies under the direction and control of Honeywell Technologies.

Contract Manufacturers	Sometimes called Toll Manufacturers (Tollers), these are companies employed to manufacture all or a portion of a company's products and/or process a finished product in some way. For example, a Toller may provide full production assistance to address a short-term capacity issue, or it may provide bagging service for a finished product manufactured in bulk.
Contractor	An independent company, partnership, or sole proprietor that provides services (performing work tasks) to Honeywell Technologies through a contract, sub-contract, purchase order, or other directive that meets Honeywell Technologies, country, and local requirements. Honeywell Technologies does not provide day-to-day supervision over the means, methods, and performance of the work performed by a Contractor, but does monitor for compliance with contract requirements and applicable law. When a Contractor is identified in this procedure, it also includes Subcontractors.
Control (Risk)	Physical (engineering) or administrative method in place or needed to mitigate risks/hazards/threats to a more acceptable level.
Controlled Documents	Documented information identifying requirements of the HSEPS Management System. (Example: HSEPS MS Level 0, Level 1, Level 2, Level 3 Documents, and regulatory permits.)
Controlled Records	Internal and external documented information that provides evidence of conformity to the requirements of the HSEPS Management System.
Emergency	A situation requiring immediate action.
Emerging Issue	Internal or external threats or opportunities that may have implications for the HSEPS Management System. These threats or opportunities are not restricted to Health, Safety, Environmental, Product Stewardship, or Sustainability , but could be other threats or opportunities that impact HSEPS such as financial market changes, political changes, personnel changes, etc.
Exposure	The frequency and duration of contact with a hazard .
Field Worker	Any Honeywell Technologies employee or contingent subcontract worker working under Honeywell Technologies supervision at a third party location (e.g., providing sales quotations or proposals; designing, installing, or servicing solutions and equipment on behalf of a customer).
Hazard	Source of, or situation that could result in harm in terms of human injury, ill health, damage to environment, property, or the workplace, or a combination of these including business interruption or company reputation.

HSEPS	Health, Safety, Environmental, Product Stewardship and Sustainability
Impact	The potential outcome, whether adverse or beneficial.
Interested Parties	Person or organization that can affect, be affected by or perceive itself to be affected by a decision or activity of the HSEPS Management System. (includes stakeholder)
Joint Venture (Minority Owned)	Manufacturing operations (JVs) where Honeywell Technologies does not have operational control. Project/Services, distribution, Sales related minority JVs are exempted from this requirement.
Learning Needs Assessment	A planning process that defines what training is needed and who needs training based on applicable HSEPS aspects , legal and other requirements, and risk assessment.
Leader (Leadership)	A person who guides or directs a group in the organization . This person may or may not be part of Top Management .
Nonconformity	Non-fulfillment of a requirement.
Non-Routine Activities	Variable part of the job of process. (No standard process or procedure).
Organization	The entity for which the HSEPS management system applies. Examples: Strategic Business Group (SBG), Strategic Business Unit (SBU); Strategic Business Enterprise (SBE), facility, field work, functions, etc.
Permanent	Lasting or intended to last or remain unchanged indefinitely.
Product	Chemical substance, preparation and mixture, material, machinery, equipment or parts, process license, items, and services that are sold and/or distributed in commerce or otherwise provided by Honeywell Technologies or under the Honeywell Technologies brand
Product Life Cycle	The entire cycle of a product from its conception through design and manufacture; sale and delivery; maintenance and support; and disposal or reuse.
Resources	Available means (example: money, people, authority, etc.)
Responsible Person	A person having the power to control or manage

Risk	Combination of the likelihood and consequence(s) of a specified hazardous (adverse) event occurring or a positive (beneficial) event not occurring.
Risk Ranking	A qualitative or quantitative method of defining risk.
Routine Activities	A normal part of a job or process. (Standard or procedure)
Sustainability	Using resources in a way that satisfies society's needs today without impairing the ability of future generations to satisfy their needs. Sustainability is a key component of the Honeywell Technologies Environmental, Social and Governance (ESG) approach to corporate activity. This includes efforts to manage the impacts, risks and opportunities associated with operations, value chain and interested parties, and promote and protect the health and safety of workers and the environment.
Significant Aspect	A subset of Aspects that are identified through the risk assessment/risk ranking process as having high potential for HSEPS Management System impact with a risk ranking of high or extreme after controls.
Temporary	Lasting for a limited time.
Third-Party Site	Any site where HON has (or is preparing) a contractual obligation to deliver a scope of work.
Top Management	A person or group of people who directs and controls an organization at the highest level. Top management has the power to delegate authority and provide resources within the organization .

6 References

- 6.1 ISO 14001:2026
- 6.2 ISO 45001:2018
- 6.3 Corporate HSEPS Management System Level 1 Documents
 - 6.3.1 1-101-X Policy and Leadership Commitment
 - 6.3.2 1-102-X Organization Roles, Responsibilities, Accountabilities and Authorities
 - 6.3.3 1-103-X Aspects and Impacts
 - 6.3.4 1-104-X Compliance Obligations
 - 6.3.5 1-105-X Emerging Issues
 - 6.3.6 1-106-X Management of Change
 - 6.3.7 1-107-X HSEPS Objectives
 - 6.3.8 1-108-X HSEPS Management Plans

- 6.3.9 1-109-X Competence, Training and Awareness
- 6.3.10 1-110-X Communication and Participation
- 6.3.11 1-111-X Documents and Records Management
- 6.3.12 1-112-X Operational Planning and Control
- 6.3.13 1-113-X Emergency Management
- 6.3.14 1-114-X Behavior and Culture
- 6.3.15 1-115-X Monitoring, Measurement, Analysis and Evaluation
- 6.3.16 1-116-X Internal Audit
- 6.3.17 1-117-X Management Review